Application No. 09 370 696
Application Filing Date 08/09/99
Filing Date of Last Previously Filed CPA (if any)_____ N/A

STEP 1. Is the filing date of the application before May 29, 2000? (Do not enter in Pre-Exam if the application is a design or reissue application)
　　　(✓) If Yes - Go to **step 2**
　　　( ) If No - Stop, do **not** enter in Pre-Exam. Process this CPA as an RCE in PALM if all the RCE requirements are met (i.e., the fee and submission have been filed and the prosecution is closed), or as an improper RCE if all the requirements have not been met.

STEP 2. Has at least one CPA been previously filed?
　　　( ) If Yes - Go to **step 3**
　　　(✓) If No - Go to **step 4**

STEP 3. Was the last previously filed CPA filed before May 29, 2000?
　　　( ) If Yes - Go to **step 4**
　　　( ) If No - Stop, do **not** enter in Pre-Exam. Process this CPA as an RCE in PALM if all the RCE requirements are met (i.e., the fee and submission have been filed and the prosecution is closed), or as an improper RCE if all the requirements have not been met.

STEP 4. Was this CPA filed on or after **November 29, 2000**?
　　　(✓) If Yes - Go to **step 5**
　　　( ) If No - Stop, do **not** enter in Pre-Exam. Process this CPA in PALM only.

STEP 5. Has the filing fee for this CPA been paid?
　　　(✓) If Yes - Go to **step 6**
　　　( ) If No - Stop, do **not** enter in Pre-Exam. Process in PALM as a MCPA (PALM code 1382).
　　　　　MCPA's must be re-evaluated for Pre-Exam processing **AFTER** payment of the filing fees
　　　　　Note: The CPA's filing date is the original MRD of the CPA transmittal.

STEP 6. Is there a Nonpublication Request? The request may be on 1) PTO/SB/35 or applicant's form, 2) A checked item in the CPA transmittal form, or 3) Applicant may include the request on other papers.
　　　( ) If Yes - Go to **step 7**
　　　(✓) If No - Go to **step 9**

STEP 7. Was the Nonpublication Request filed with the CPA?
　　　( ) If Yes - Go to **step 8**
　　　(✓) If No - Go to **step 9**, any untimely Nonpublication Request should be directed to the TC PGPub Reps.

STEP 8. Has the applicant certified that the invention has not been and will not be the subject of an application filed in another country (or under international agreement) that requires 18-month publication?
　　　( ) If Yes - Stop. Process this CPA in PALM and Pre-Exam. **Check the "Do Not Publish" Box.**
　　　( ) If No - Go to **step 9**

STEP 9. This CPA must be processed in PALM and Pre-Exam to generate a filing receipt. As you process the case, check for and enter:
　　　1) Request for Early Publication. (Must be accompanied by the publication fee)
　　　2) Applicant selected figure for publication.
　　　3) Inclusion of assignee information to be entered in Pre-Exam. Assignee information should be captured from the transmittal letter, application data sheet, or other papers requesting entry of assignee info for publication purposes.
　　　4) Is this a biosequence application (e.g., marked on face of file as "Disk (CFR)", or "SEQ" tag)? If yes, put a "Y" in "Biosequence indicator" box, located under the "Receipt Date" box on screen PMG900C1.

Rev. 9/01